



File OF-Surv-OpAud-T309-2017-2018 01
26 June 2018

Mr. William R. Stedman
Chief Executive Officer
TEML Westspur Pipelines Limited
Suite 3100, 715 - 5 Avenue SW
Calgary, AB T2P 2X6
Email: [REDACTED]

Dear Mr. Stedman:

**Approval of Proposed Corrective and Preventive Action Plan (CAPA Plan) for
Tundra Energy Marketing Limited – Westspur Pipelines Limited (TEML)
Audit CV1718-345**

The National Energy Board (NEB or the Board) issued its Final Audit Report (CV1718-345) of TEML on 11 April 2018. TEML submitted a CAPA Plan for approval to the Board on 11 May 2018. The Board hereby approves TEML's CAPA Plan and has provided additional direction in the attached table. The direction provides clarity for completing certain preventive actions identified in the CAPA Plan.

TEML shall provide the NEB with quarterly updates on the completion of the actions identified in the CAPA Plan beginning 30 September 2018. The information filed should demonstrate that corrective and preventive actions address the findings identified in the Final Audit Report as per the approved CAPA Plan and the direction in the attached Table. The Board notes that TEML refers to the quarters Q1, Q2, Q3 and Q4 for the completion dates of its corrective and preventive actions. For the sake of clarity, these quarter dates will be interpreted as 31 March (Q1), 30 June (Q2), 30 September (Q3) and 31 December (Q4) respectively.

The Board will make the CAPA Plan public and will continue to monitor and assess all of TEML's corrective and preventive actions with respect to this audit until they are fully implemented. The Board will also continue to monitor the implementation and effectiveness of TEML's management system and programs through targeted compliance verification activities as a part of its regulatory mandate.

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If you require any further information or clarification, please contact Ken Fortin, Lead Auditor, at 587-538-2150.

Yours truly,

Original signed by L. George for

Sheri Young
Secretary of the Board

c.c.



Attachment – Board Directions for TEML CAPA Plan for Audit CV1718-345

Board Direction for TEML CAPA Plan for Audit CV1718-345

In order to prevent recurring non-compliance, the Board directs TEML to demonstrate that it has integrated the reviews for the preventive actions listed in the table below into its ongoing quality assurance activities and reviews required by the NEB OPR s 6.5(1) (o), (v), (w) and (x), as appropriate.

Finding #	TEML's Preventive Action
1718-345-4 (a)	Preventive Action – Conduct a review on completed Emergency Management documentation, to ensure compliance with what is outlined in the Integrated Management System and Emergency Management and Security Management Program.
1718-345-5	Preventive Action – To ensure compliance and to share findings, a review of the hazard identification process should take place across all protection programs.
1718-345-6 (c)	Preventive Action – To ensure compliance and to share findings, reviewing other protection programs Hazard Inventories should take place.
1718-345-7	Preventive Action – To ensure compliance and to share findings, all other protection programs should review the risk evaluation associated with identified hazards. The process outlined in the IMS should be referenced.
1718-345-8	Preventive Action – To ensure compliance and to share findings, all other protection programs should review the control process as outlined in the IMS as it relates to the identified hazards.